

Gates & Cooper LLP

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045

**RECEIVED
CENTRAL FAX CENTER**

AUG 10 2005

FAX TRANSMISSION TO USPTO

NOTICE OF ALLOWANCE DATE: JUNE 16, 2005

ISSUE FEE DUE DATE: SEPT. 16, 2005

CONFIRMATION NO.: 4166

**TO: Commissioner for Patents
Attn: Examiner Hashem Farrokh
Patent Examining Corps
Facsimile Center
Alexandria, VA 22313-1450**

**FROM: Jason S. Feldmar
OUR REF.: ARC9-00-055US1 (MCM)
TELEPHONE: (310) 642-4141**

Total pages, including cover letter: 6

PTO FAX NUMBER: 571-273-8300

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

Title of Document Transmitted:	EXAMINER INTERVIEW SUMMARY AND FORM PTO-1449
Applicant:	Lawrence Yium-chee Chiu et al.
Serial No.:	09/851,452
Filed:	May 7, 2001
Group Art Unit:	2187
Title:	METHOD AND APPARATUS FOR A GLOBAL CACHE DIRECTORY IN A STORAGE CLUSTER
Our Ref. No.:	ARC9-00-055US1 (MCM)

Please charge all fees to Deposit Account No. 09-0441 of IBM Corporation, the assignee of the present application.

By: 

Name: Jason S. Feldmar
Reg. No.: 39,187

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.


Signature

Aug. 10, 2005
Date

JSF/mrj

G&C 30879.81-US-01

Notice of Allow. Date: June 16, 2005
Confirmation No.: 4166

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
CENTRAL FAX CENTER

AUG 10 2005

Applicant: Lawrence Yium-chee Chiu et al. Examiner: Hashem Farrokh
Serial No.: 09/851,452 Group Art Unit: 2187
Filed: May 7, 2001 Docket: ARC9-00-055US1 (MCM)
Title: METHOD AND APPARATUS FOR A GLOBAL CACHE DIRECTORY IN A STORAGE CLUSTER

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed *via facsimile transmission* to the U.S. Patent and Trademark Office on August 10, 2005.

By: 

Name: Jason S. Feldmar

MAIL STOP ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- ☒ FORM PTO-1449.
- ☒ EXAMINER INTERVIEW SUMMARY

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 09-0441 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

Customer Number 22462
GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: 

Name: Jason S. Feldmar

Reg. No.: 39,187

JSF/mrj

Notice of Allow. Date: June 16, 2005
Confirmation No.: 4166

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lawrence Yium-chee Chiu et al. Examiner: Hashem Farrokh
Serial No.: 09/851,452 Group Art Unit: 2187
Filed: May 7, 2001 Docket: ARC9-00-055US1 (MCM)
Title: METHOD AND APPARATUS FOR A GLOBAL CACHE DIRECTORY IN A STORAGE CLUSTER

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed *via facsimile transmission* to the U.S. Patent and Trademark Office on August 10, 2005.

By: 
Name: Jason S. Feldmar

MAIL STOP ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:


We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- ☒ FORM PTO-1449.
- ☒ EXAMINER INTERVIEW SUMMARY

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 09-0441 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

Customer Number 22462
GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: 
Name: Jason S. Feldmar
Reg. No.: 39,187
JSF/mrj

NOTICE OF ALLOWANCE DATE: June 16, 2005

ISSUE FEE DUE DATE: September 16, 2005

CONFIRMATION NO.: 4166

IN THE UNITED STATES PATENT AND TRADEMARK OFFICERECEIVED
CENTRAL FAX CENTER

AUG 10 2005

Applicant: Lawrence Yium-chee Chiu et al
Serial No.: 09/851,452
Filed: May 7, 2001
Title: METHOD AND APPARATUS FOR A GLOBAL CACHE DIRECTORY IN A STORAGE CLUSTER

Examiner: Farrokh, Hashem
Group Art Unit: 2187
Docket: ARC9-00-055US1 (MCM)

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed *via facsimile transmission* to the U.S. Patent and Trademark Office on August 10, 2005.

By: 
Name: Jason S. Feldmar

EXAMINER INTERVIEW SUMMARY

MAIL STOP ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

On May 25, 2005, June 1, 2005, and June 9, 2005, various interviews were conducted between Examiner Farrokh and Jason S. Feldmar, Attorney for Applicants, Reg. No. 39,187. Applicants appreciate the time taken by the Examiner to discuss the case.

During the interviews, the claims were discussed in view of the cited prior art. In addition, the Examiner indicated that a further search had been performed and that U.S. Patent No. 6,167,490 ('490) could potentially be used to reject the claims. After discussing the reference, agreement was reached in that the subject matter set forth in the claims was patentable over the '490 reference. Thereafter, Applicants agreed to an Examiner's amendment of the claims as set forth in the Notice of Allowance.

Applicants note, however, that the '490 reference originally brought up by the Examiner and discussed during the interview was *not* cited in a PTO Form 892. Accordingly, attached hereto is a Form PTO-1449 that contains the '490 reference. Applicants respectfully request acknowledgement of

the consideration previously given to the reference by initialing the appropriate locations of the PTO-1449 and entry of the Form in the File Wrapper.

In addition, Applicants submit that since this reference was not initially brought up by Applicants but by the Patent Office without formal entry into the file wrapper, no fee is due at this time. Nonetheless, should any fee be due, Applicants hereby authorize the charging of any fee or the credit of any overpayment to Deposit Account Number 09-0441 of IBM Corporation.

The Issue Fee has not yet been paid.

Should the Examiner believe minor matters still remain that can be resolved in a telephone interview, the Examiner is urged to call Applicants' undersigned attorney.

Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: August 10, 2005

By: 
Name: Jason S. Feldman
Reg. No.: 39,187

JSF/mrj
Enclosure: form PTO-1449